

Account Transfer Certification

Account Name:	Account Number:	Account Type:
Name:		Tax ID
Address		
City/State/Zip		
Email	Transferring Firm Name	
Transferring Account Number		

Owner Authorization & Agreement

To the financial institution I have listed as the current holder of my account; I instruct you to transfer assets to Folio Investments, Inc. in accordance with my transfer instructions. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation.

Additional Full Account Transfer Agreement

I authorize you to liquidate assets such as proprietary money market funds and fractional shares that cannot be transferred between financial institutions that are part of my account in accordance with my transfer instructions and transfer the resulting credit balance. I understand that upon receiving a copy of these transfer instructions, you will cancel all open orders for my account on your books. I affirm that I have destroyed or returned to you credit/debit cards and/or unused checks issued to me in connection with my securities account. I am aware that if I sell any investments in the account after submitting this request that the cash proceeds may not accrue interest while the sale is being processed. If certificates or any other instruments in my account are in your physical possession, for registered, U.S. exchange traded equity securities, I instruct you to immobilize the certificates and put them into book entry form, and then transfer them. If they cannot be put into book entry form then they will be considered non-transferable assets and I instruct you to liquidate them. If they cannot be liquidated then you should send the certificates to me at my address of record. For domestic U.S. equity securities that are not registered securities, such as interests in limited partnerships or shares of securities that have not yet been registered for sale, I instruct you to mail the evidence of ownership you hold on my behalf to me at my address of record.

Letter of Authorization (LOA) for Joint Account Transfers

If this is a joint account transfer, we acknowledge, agree and authorize the account described here be transferred to the Folio Investments, Inc. account described here, as specified in this document.

Age 70½ Restrictions

If this is a retirement account transfer and I am over age 70½, I attest that I have met or will meet the required minimum distribution requirement for the current year.