

## Building Shareholder Loyalty is Good Business

We make it easy to build shareholder loyalty through the Folio Shareholder Platform (FSP), a simple, streamlined and cost-effective way for your shareholders to invest and reinvest in your company.

The FSP offers issuers a no or low-cost, online, paperless platform to streamline shareholder interactions and offers shareholders added features, benefits, flexibility and cost savings not seen in traditional DRiPs and DSPPs.

“Until now, the only way companies could support their DRiPs and DSPPs was with expensive, inflexible platforms that cost users high trade commissions and issuers thousands of dollars in administration. The FSP has changed the landscape.”

— Steven Wallman, CEO

## The Folio Shareholder Platform (FSP): A Better Way

### Better For Issuers:

No platform costs or commitments

- No registered shareholder costs for issuers
- No customer service costs for issuers
- Simple set-up with no commitments

No “paper” or mailing costs

Electronic proxy statement, annual report and other document delivery

One-click online proxy voting

Integrated into every account

Open-investment platform

Shareholders can buy any issuer’s stock on the community platform

Stand alone or together

Our FSP can live alongside existing DSPP/DRiPs or replace them

### Better For Shareholders:

Low cost purchase *and* sale of whole or fractional shares

Free dividend reinvestments

Trade on their time

Once-a-month investing is a thing of the past. Shareholders can trade up to twice a day using Folio’s patented window-trading technology

Flexible Automatic Investing

Shareholders can easily set up auto-investments on any frequency — daily, weekly, monthly

Consolidated Portfolio Management

- One portfolio for all of a participant’s DRiP and DSPP stocks
- One login provides secure access to the whole portfolio

Easy to use online platform

Successfully used by investors for more than a decade

### Premium Plan Options:

Save your shareholders from commissions

Offer your stock with *zero commissions* for a negotiated fixed quarterly fee

Eliminate registered shareholder plan and costs

Easily convert current registered shareholders to the FSP

# Inside the Folio Shareholder Platform

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## Low-Cost Platform

No hidden costs. How do we do it? We offer the FSP at little to no cost to you and your shareholders through the cost-savings we achieve with our patented window trading technology. Designed for long-term investors, our twice-daily window trades offer shareholders flexibility and cost savings never before seen in the industry.

## Streamlined Portfolio Management

Most investors buy multiple stocks in multiple plans and use multiple brokerages. With each new DRiP or DSPP, shareholders incur a new and different set of rules and fees. Our Folio Shareholder Platform lets shareholders consolidate all of their individual DSPPs and DRiPs into one single, manageable portfolio. With one login, they can access all of their securities and accounts — even transferred 401(k)s and IRAs — in one online platform.

## Flexible Automatic Investment Plans

Your shareholders can set-up automatic recurring investments for whole or fractional shares. Getting them started is online, simple, and straightforward. In fact, it's as easy as buying a mutual fund — but with individual stocks, no minimum balance requirements and no charge for automatic dividend reinvestment.

## Fast Setup

Getting started with the FSP is quick and easy. All you need is a standard agreement and web page content for the Investor Relations section of your corporate website. It's that simple.

## About Folio

Founded in 1999 by Steven Wallman, a former Commissioner of the U.S. Securities and Exchange Commission, Folio has been a self-clearing broker-dealer and a direct member of The Depository Trust and Clearing Corporation since 2001. Today, Folio provides custody and brokerage services to investment advisors, broker-dealers and self-directed investors.

Each and every day, Folio strives to leverage new technology to reduce investment costs and trading commissions and help investors keep more of what they own.

To establish a Folio Shareholder Platform, call us today at  
**1-888-485-3456** or **[info@folioinstitutional.com](mailto:info@folioinstitutional.com)**.